Disclosure

Foundation Family Wealth (Pty) Ltd is an authorised financial services provider as defined in the Financial Advisory and Intermediary Services Act 2002 (FAIS) and we undertake to abide by the requirements as set out in this Act.

We will always maintain suitable and sufficient professional indemnity or fidelity insurance.

We have no conflicts of interest listed in our Conflict-of-Interest Policy and will disclose all necessary information if a conflict arises.

We commit ourselves to swift, effective, and fair resolution of your complaints. Address your complaints to Sunél Veldtman or Elke Zeki at sunel@foundationsa.com or elke@foundationsa.com. We will acknowledge all complaints in writing.

All policies are available on request from our offices.

Should any complaint not be resolved to your satisfaction, it can be submitted in writing, within 6 months, to the FAIS Ombud for Financial Service Providers. Their details:

FAIS OMBUD & FSCA DETAILS

You can find all the relevant contact information on the following websites:

FAIS OMBUD
https://www.faisombud.co.za/contact-us/

FSCA
https://www.fsca.co.za/Pages/Contact-Us.aspx

LEGAL & STATUTORY INFORMATION

Foundation Family Wealth (Pty) Ltd
Registration number: M2011/009772/07
Address: 10 Eastwood, Dunkeld, JHB, 2196
Telephone: 011 268 6484
FSP license number: 43439
Compliance officer: Nelda de Jager from Moonstone (Pty) Ltd
Compliance officer email: ndejager@moonstoneinfo.com
Compliance office number: 082 884 1828

Authorised representatives:
Sunél Veldtman, Elke Zeki, Michelle le Roux, Hayley Walker (under supervision), Nokwanda Msimang (under supervision)

Foundation Family Wealth does not hold more than 10% of the relevant product supplier's shares or haves any equivalent substantial financial interest in the product suppliers we use.

Foundation Family Wealth does not earn any commissions, and therefore we do not receive more than 30% of our remuneration from one product supplier.

You are hereby advised and cautioned that no person acting on behalf of Foundation Family Wealth may during the rendering of a financial service request you to sign any written or printed form or document unless all details required to be inserted thereon by you or on your behalf have already been inserted.

Please be aware when completing any documentation or providing any information, that all material facts must be accurately and properly disclosed. You are entirely responsible for the accuracy and completeness of all answers, statements or other information provided by you or on your behalf.

Please note that all material facts in respect of any application, proposal, order, instruction, or other contractual information that is required to be completed for or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, must be accurately and properly disclosed.

Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction by the product supplier.

You are hereby advised that no financial advisor or any other person may ask you or offer any inducement to you to waive any right or benefit conferred on you by or in terms of any provision of the General Codes of Conduct. A copy of the Code of Conduct is available on request.

We collect personal information from you, to provide advice, products, and services to you. Personal information means any information that may be used to identify an individual, including, but not limited to, a first and last name, e-mail address, a home, postal or other physical address, other contact information, title, birth date, gender, occupation, investments, assets, liabilities, insurance, and other information needed to provide a service you requested.

We may send your personal information to other companies or people under any of the following circumstances:

- We have your consent to share the information.
- We need to share your information to provide advice, reporting, analysis, products, or services you have requested.
- We need to send the information to companies, who will provide a product or service to you.
- We are required to do so by law.

In addition, your information may be hosted on servers managed by a third-party service provider. Some of these servers may be hosted in jurisdictions outside of South Africa.

From time to time, we will perform analysis on our databases. The results of this analysis may be released to third parties, but only in aggregate form and will contain no personal information at all.

A copy of our Privacy Notice and Promotion of Access to Information Act (PAIA) Manual is available on request.

By signing this disclosure agreement, you are providing your consent to for us to store your information on servers managed by third parties and to share your information for us to provide advice, reporting, analysis, products, and services you have requested.

SERVICES FOUNDATION IS AUTHORISED TO OFFER

- Products
- Long Term B1, B2, C
- Retail Pension Benefits/Retirement Annuities
- Shares
- Money Market Instruments
- Debentures, Securitised Debt
- Warrants, Certificates and Other instruments
- Bonds
- Derivative Instruments
- Collective Investment Schemes
- Long & Short Term Deposits
- Structured Deposits
- Hedge Funds

PLATFORMS & ASSET MANAGERS WE WORK WITH

- FNB Securities
- Glacier
- Glacier International
- NinetyOne
- Momentum
- Coronation
- Prudential
- Stanlib
- Allan Gray
- Capital International
- Boutique Collective Investments
- Sasfin Wealth
- Currency Partners
- Investec
- PortfolioMetrix
- Morningstar